

ATTACHMENT A

CONTRACT NUMBER: DMS(DEL-F2)FY12-12442

AMENDMENT NUMBER # 2

WHEREAS, the DEPARTMENT has requested additional system financial functionality that go beyond requirements set forth in the original Contract; and

WHEREAS, the DEPARTMENT has requested additional Child Protection Register (“CPR”) demands of conducting multiple CPR background checks simultaneously that go beyond requirements set forth in the original Contract; and

WHEREAS, The DEPARTMENT and the CONTRACTOR have completed discussions concerning the amendment of the Contract in accordance with Article X, Administrative Procedures, Section A(1), “Procedure for Amendment” of the Contract, and have mutually agreed to modify the original contract as described herein; and

WHEREAS, for the avoidance of doubt, the DEPARTMENT and the CONTRACTOR agree that this Amendment does not affect any Deliverables set forth in Attachment B of the Contract (Budget Summary) that were due on, or prior to, April 5, 2013. All such Deliverables have been completed and submitted by the CONTRACTOR for DEPARTMENT review and approval, all other provisions of the Contract shall remain in effect and in full force. This Amendment will be governed by the terms and conditions of the Contract which have not been modified by this Amendment.

NOW, THEREFORE, in consideration of the mutual covenants contained herein and in accordance with Article X, Administrative Procedures, Section A. *Amendment, Modification and Waiver*, 1. *Procedure for Amendment* of the *Agreement Statement of Agreement*, the parties do hereby agree to amend the Agreement as follows.

Effective upon signature of this Amendment are the following modifications:

1. Append the current Scope of work set forth in Attachment A of the Contract with the additional financial functionality and fee summary as set forth in the attached Appendix A.
2. Append the current Scope of work set forth in Attachment A of the Contract with the CPR background check functionality and fees summary as set forth in the attached Appendix B.
3. Replace the current Attachment B Budget Summary, Section A in the Contract with the revised Attachment B Budget Summary, Section A attached hereto.

All other terms and conditions remain the same.

APPENDIX A

The additional FACT II fiscal functionality needed to generate payments to the state general ledger will specifically:

- Allow fiscal staff to set up accounting and funding reference data to be utilized at the point of payment creation.
- Allow fiscal staff to manually control the creation of batch generated payments and adjust the accounting and funding data referenced by those payments.
- Create payments in a batch mode that include accounting and funding reference data.
- Modify automated make-up payment creation so that payments are created that include accounting and funding data.
- Modify automated accounts receivable creation so that recoupments are made against subsequent payments assigned to the same accounting and funding sources as the original.
- Summarize payments by accounting and funding data prior to general ledger interface.
- Create State Treasury PV to reconcile warrants interfaced directly to ACH with the State general ledger.
- Provide broad editing facilities on single and multiple payments.
- Create internal Journal Vouchers to address the impacts of payment editing.

The additional fiscal functionality set forth herein will be synchronized with the design and development work presently underway associated with the main body of the FACTS II Project (“Project”). This approach has a number of cost and risk avoidance advantages:

- Additional resources can be added to the existing Project team without the necessity for additional management resources.
- Design sessions are scheduled in co-ordination with design sessions for existing functionality. This allows DEPARTMENT representatives to inspect the full breadth of fiscal payment functionality during those sessions.
- Coding is completed during the base FACTS II development stage. This avoids the need to manage a separate branch of source code, thereby reducing build complexity and the need for comprehensive regression testing.
- Technical administration resources (e.g. DBA and build engineer) are leveraged from the existing Project team, excluding the additional cost of those staff that would otherwise be required.
- There are no separate deliverables for the additional fiscal functionality work. This functionality will be folded into the related deliverables in the main FACTS II Project. This approach reduces cost to develop separate deliverables (notably, detailed design). It also reduces the DEPARTMENT’s level of effort in reviewing them.

The additional fiscal functionality will be implemented as part of the main FACTS II implementation.

The additional fiscal functionality work will be performed on a fixed price basis based for the specific scope described herein.

The additional fiscal functionality will assist DSCYF in generating and managing payment records for services delivered to children and youth in Delaware. The breadth of fiscal processing that is impacted by this additional fiscal functionality change is illustrated in Figure 1-1 on the following page;

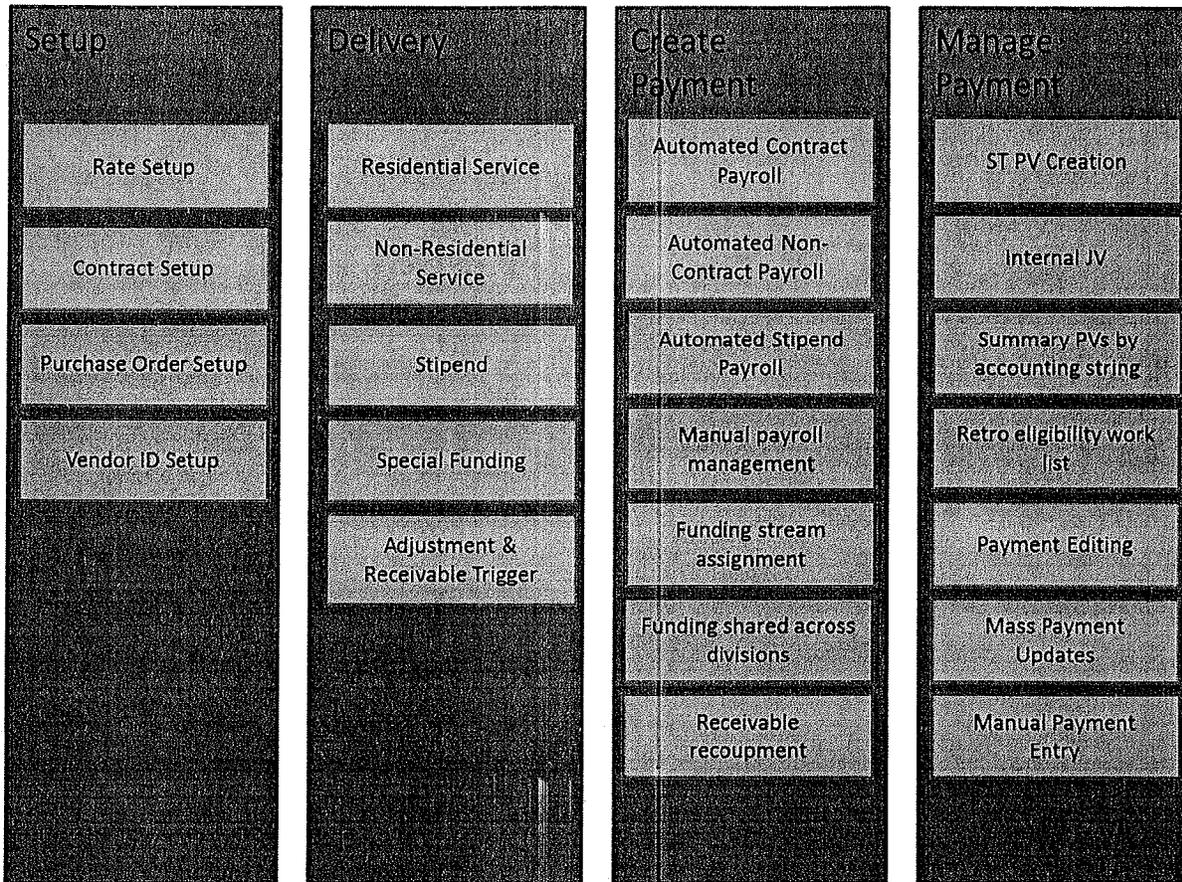


Figure 1-1: Fiscal functionality

Within this diagram, four broad stages of fiscal functionality are identified:

- Setup. Setting up accounting reference data (e.g. accounting strings, funding streams, and contracts) which is subsequently used within payment generation.
- Delivery. Recording of delivered services
- Create Payment. This functional area requires extensive modification and extension in order to deal with the particular circumstances of the State general ledger.
- Manage Payment. Management of payments after they have been created. The demands of the State general ledger require that payments be edited and summarized in particular ways prior to interfacing.

Within each broad functional category, identified are the functional components required to make payments. Each functional component is color coded as follows:

- Green. The component largely addresses the general ledger payment formats and requires no modification or extension.
- Orange. The component partially addresses the general ledger payment formats but requires modification.
- Red. No equivalent component exists. New functional components must therefore be built.

The following sections provide additional information regarding each functional component and the changes or additions required within it. These changes should be considered as detailed requirements and were used to define the functional design that was discussed and documented throughout the Joint Application Design sessions and submitted for DSCYF review in deliverable 6.9.1 Detailed Design Specifications.

Setup

A. Service Taxonomy/Rate Setup/Pay Stamp Maintenance

The Service Taxonomy screen defines the menu of services that the DEPARTMENT can offer to clients. The Rate Setup screen records rate information for each non-contracted service, with modifiers to allow rate variation by age of the recipient. The Pay Stamp Maintenance screen defines the funding streams to be utilized for each service within the taxonomy.

Suggested modifications are as follows:

1. Add accounting string information to the Service Taxonomy and/or Rate Setup screen – to match the FACTS I Invoice Voucher Maintenance screen - to be referenced during payment generation.
2. Add funding stream information to the Pay Stamp Maintenance screen – to match the FACTS I App Config screen - to be referenced during payment generation.

Assumptions for this modification are as follows:

1. None

B. Contracts

The Contract screens define services and rates for unit based service delivery. Each contract can be for residential or non-residential services and defines the possible services offered, the unit rate and the facilities at which each service can be delivered. In addition, the Contract Monitoring screen shows point in time expenditure against each contract.

Suggested modifications are as follows:

1. Add the ability to record capacity based contracts
2. Add the ability to record residential and non-residential services within a single contract
3. Add the ability to record separate rates for case maintenance, service delivery and administrative cost recovery
4. Modify the Contract Monitoring screen to match modifications made to the Contracts screen

Assumptions for this modification are as follows:

1. Invoice amounts for residential and non-residential services may be submitted on the same physical invoice.

Each physical invoice may only reference a single purchase order and contract.

C. Purchase Orders

The general ledger system requires that payments reference a purchase order. Achieving this functionality will require new screens.

Suggested modifications are as follows:

1. New screen to capture Purchase Order details and associated accounting string information.
2. New screen to allow the user to view a running total of expenditures against the purchase order.

Assumptions for this modification are as follows:

1. FACTS II can send purchase order information to the State general ledger as part of the identified general ledger interface.
2. Population of running expenditures against the purchase order will be interfaced from the State general ledger as part of the identified general ledger interface, in a format that facilitates accumulation and display in FACTS II.
3. Purchase order amounts do not need to be validated against contract amounts.
4. Running expenditure amounts do not need to be validated against contract amounts.

D. Provider Details

The FACTS II Provider screen records information required to make referrals for service within FACTS II. This screen requires modification to capture data required by the State general ledger.

Suggested modifications are as follows:

1. Capture withholding information.
2. Capture NPI number.
3. Establish a link between the FACTS II provider ID, the state vendor ID, NPI number, and the TIN number.

Assumptions for this modification are as follows:

1. New providers can be forwarded to the State general ledger via the FSF interface which is part of the original Agreement scope requirements.
2. Provider information will be interfaced from the State general ledger as part of the identified general ledger interface.
3. No processes are required in FACTS II to guard against duplicate providers arising from entities being separately entered within FACTS II and the State general ledger.

Delivery

A. Stipend

The DEPARTMENT pays stipends twice per year on behalf of children in foster care. The following approach requires no coding modifications within the Delivery area, but does require some additional data to be set up.

Suggested modifications are as follows:

1. None.

Assumptions for this modification are as follows:

Stipend amounts appear in the rate table as two separate rates – for summer and fall.

B. Special Funding

The special funding functionality is a one-time payment made to a family in order to avoid emergency placement, support reunification or to provide unanticipated services. FACTS II has functionality to generate one-time payments. Modification is required to capture the accounting and funding data (e.g. flex funds, clothing and incidentals, placement prevention, and 21st Century funds) to back up the payment.

Suggested modifications are as follows:

1. Capture accounting string information to support the payment.
2. Execute payment stamping functionality to calculate funding stream information. Revise the payment record according to the calculated funding streams by splitting a single payment into multiple lines according to the number of valid funding streams identified.

Assumptions for this modification are as follows:

1. Funding stream information will be editable, however it is not possible to add or remove lines pertaining to individual funding streams.

Create Payment

A. Contracted Payroll

The contracted payroll batch generates payments for services delivered by a contracted provider.

Suggested modifications are as follows:

1. Generated payments should include accounting string information as defined within the purchase order and/or service taxonomy.

2. New batch process for capacity based contracts to generate an empty bed payment amount to uplift service driven payment amounts to the contracted capacity amount.
3. New batch process for case management payments in addition to standard service payments.
4. Residential and non-residential invoice screens will be modified to accept ad hoc claims for reimbursement of provider expenses.
5. Execute payment stamping functionality to calculate funding stream information.

Assumptions for this modification are as follows:

1. Residential and non-residential invoices will be processed separately.
2. Empty bed payment amount will be State funded.

B. Non Contracted Payroll

Three non-contracted payroll batch process are executed to generate payments for foster care, adoption subsidy and guardianship subsidy. Modifications to these batch processes are required to support the State general ledger.

Suggested modifications are as follows:

1. Generated payments will include accounting string information as defined in the Service Taxonomy and/or Rate screen.
2. Execute payment stamping functionality to calculate funding stream information.

Assumptions for this modification are as follows:

1. None.

C. Automatic Adjustments and Receivables

FACTS II contains functionality to automatically create adjusting payments and accounts receivables based upon changes in residential service activity. This functionality requires modification to meet the requirements of the State general ledger.

Suggested modifications are as follows:

1. Automatically generated adjusting payments should reference accounting string information as defined in the Service Taxonomy and/or the Rate screen.
2. Execute payment stamping functionality to calculate funding stream information for adjustments.
3. Automatically generated accounts receivables should reference the accounting string and funding stream of the original payment.

4. Modify process timing so that adjustments are created promptly, rather than waiting for the next batch payroll run.
5. For automatically generated receivable records:
 - a. Modify the written off status to satisfied.
 - b. Add a comment field to record reason for record being marked as satisfied.

Assumptions for this modification are as follows:

1. Identification of a receivable will reverse the claimed amount under the previously calculated funding stream and fund that receivable from State funds.

D. Stipend Payroll

A new batch process is required to generate stipend payments for the summer and fall periods.

Suggested modifications are as follows:

1. New batch process (based upon existing non-contracted payroll processes) to create stipend payments.
2. Payments will be based upon the stipend rates entered in the Rate screen for children in foster care.
3. Separate rates are recorded for summer and fall stipends.
4. Fall stipends are paid only to children aged 5 and-over on the stipend trigger date.

Assumptions for this modification are as follows:

1. Adjusting payments for stipends will not be automatically generated.
2. Accounts receivables based upon overpayment of stipends will not be automatically generated.
3. Accounting string and funding stream information to be included on the generated payments will be sourced from data entered against the stipend service on the Service Taxonomy screen and/or Rate screen.
4. Stipend payments will be generated on separate payment lines, but will be included within the same payment as foster care board payments.

E. Manual Payroll Execution

Payment generation processes are typically executed in a scheduled batch mode overnight, to avoid possible performance conflict with on-line users. The DEPARTMENT payrolls are manually executed during the day; requiring a new screen to manage this manual execution approach.

Suggested modifications are as follows:

1. New screen to view high level data regarding payroll runs that have been executed. Existing payroll runs may be deleted, or new runs launched. This allows for trial runs and reruns.
2. Prior to executing a new payroll run, it is possible to enter accounting string information that overrides accounting string information that otherwise be drawn from the Service Taxonomy and/or Rate screen.
3. Prior to executing a new payroll run, it is possible to enter the effective dates for the payroll. This is primarily to support running payroll twice in June.
4. The user should be prevented from launching a payroll run while an existing payroll run is being executed or deleted – since both of these processes are likely to require significant processing time.

Assumptions for this modification are as follows:

1. Executing a payroll run during business hours may have performance implications for on-line users. It is not possible to predict this impact prior to implementation.
2. Entering overriding accounting string data applies to payments generated by the payroll for which that override has been entered.
3. It is not possible to prevent deliberate duplicate running of a payroll batch for the same, or overlapping, service dates.

F. State Treasury Payment Voucher

In order to facilitate the timeliness of payment receipt by non-contracted providers, the DEPARTMENT follows an alternate approach to check generation which bypasses certain State general ledger operations.

Suggested modifications are as follows:

1. New screen to allow selection of payment records to be combined into the State Treasury Payment Voucher.
2. New batch process to combine selected non-contracted payments into a State Treasury Payment Voucher.
3. New batch process to combine clothing and incidentals expenses into a State Treasury Payment Voucher.
4. New screen to view, edit or delete State Treasury Payment Vouchers.
5. New batch processes to transform State Treasury Payment Vouchers into ACH, warrant and remittance advice files.

Assumptions for this modification are as follows:

1. ACH, warrant and remittance advice files can be directly interfaced to their respective counterparties with no further file transformations.

2. Executing a transformation process during business hours may have performance implications for on-line users. It is not possible to predict this impact prior to implementation.
3. Edits made to State Treasury Payment Vouchers are not reflected in the underlying payment records or the case record.
4. Selection of records to be combined into the State Treasury Payment Voucher will occur at the payment record level *not* the payment line level.

G. Funding Stream Assignment

In addition to assigning funding stream information to payments at the time of generation, it is necessary to restructure each payment record based upon those funding decisions.

Suggested modifications are as follows:

1. Modify existing funding allocation batch process to be accessible either in batch or on-line mode (to support allocation of funding streams for demand and special funding payments).
2. Modify existing funding allocation process to draw funding stream information from the Pay Stamp Setup screen.
3. New batch process to restructure payment records based upon funding allocations.

Assumptions for this modification are as follows:

1. None

H. Shared Funding

FACTS II original Agreement scope included functional requirements to record service provision that will be paid for by multiple divisions. As a result of the State general ledger requiring funding stream information included at the time of payment generation, payments for split funded services should be formatted differently.

Suggested modifications are as follows:

1. Modify service log screen to record split funding scenarios.
2. Allow successive, but not overlapping, periods of different fund sharing ratios.
3. Modify contracted and non-contracted payroll batch processes so that a single service funded by multiple divisions creates a payment record where each division is represented as separate payment line. This payment split is prior to and in addition to a further split that should occur as a result of funding stream calculations.

Assumptions for this modification are as follows:

1. Split funded payments are identified at the point of entry on the Service Log screen.

2. Recoupments and receivables are calculated based upon the most recently entered funding share ratio – not necessarily the ratio in effect at the time of original payment or delivery.

I. Recoupment

The recoupment batch process attempts to recover monies against accounts receivables. Because the State general ledger requires that accounting string information is included at payment generation, the Department opportunities for recoupment should be limited by accounting string.

Suggested modifications are as follows:

1. Modify the recoupment batch process to only recoup from payment records generated directly through payroll batch processes or demand payments; State Treasury Payment Vouchers should be excluded since these are special purpose payment records specifically generated for the purposes of interface to the State general ledger.
2. Modify the recoupment batch to only recoup from payments made to the same provider under the same accounting string and funding stream

Assumptions for this modification are as follows:

1. Recoupment maintains a link from recouped amount to the original client related overpayment

Manage Payment

A. Internal Journal Voucher

In certain circumstances it is desirable to make transfers of funding stream information without modifying the payment records, or interfacing those transfers to the State general ledger. Recording these transfers requires Journal Voucher functionality.

Suggested modifications are as follows:

1. New screen to capture journal voucher information, including a reference to an original payment voucher line.

Assumptions for this modification are as follows:

1. To maintain a link from the internal Journal Voucher line to an original payment it is required to restrict each journal voucher line to a cash movement pertaining to a single payment voucher line.

B. Summary Payment Vouchers (Internal Payment Voucher)

Prior to interface to the State general ledger, payment records should be further reformatted. Payment records relating to individual children should be combined and collapsed so that the payment record that is interfaced contains on provider, accounting string and funding stream information at a macro level.

Suggested modifications are as follows:

1. New batch process to refactor payment records.
2. New screen to select payment records for refactoring and execute the refactoring process.
3. New screens to select, view or delete refactored payments.

Assumptions for this modification are as follows:

1. None

C. Retroactive Funding Adjustments

When a child eligibility status changes retroactively it is possible that adjustments to prior claims are required. FACTS II contains functionality to make these adjustments, however they are designed to be used in relatively rare cases and make adjustments on payment per client per transaction.

The requirement of the State general ledger to include funding stream information at the time of payment generation means that many more cases arise in which funding adjustments are required. Current functionality should be modified to support high volume retroactive changes.

Suggested modifications are as follows:

1. New screen to display payments impacted by a child's eligibility status change and execute a mass change to those impacted payment records.
2. New batch process to extract payment records impacted by a retroactive eligibility change and apply that change to extracted records.

Assumptions for this modification are as follows:

1. This process applies to only Title IV-E eligibility functionality.
2. Retroactive eligibility changes can be triggered by:
 - a. An initial determination that takes place after payments have been made.
 - b. Emerging changes to data used as part of the original determination or redetermination.
 - c. A redetermination that impacts prior payments.
 - d. A change in the provider licensure status that impacts prior payments.

D. Payment Editing

After payment records have been generated or entered, it is possible for fiscal staff to make changes to those payment records.

Suggested modifications are as follows:

1. Modify the Cashflow screen to act as a search screen for outgoing payment records. Payment records will be filterable by multiple criteria to be determined.
2. New screen to edit non-contracted payment line details including:
 - a. Purchase order
 - b. Accounting string
 - c. Service dates
 - d. Funding stream
 - e. Withholding details
3. Modified invoice screens to edit payment line details including:
 - a. Purchase order
 - b. Accounting string
 - c. Service dates
 - d. Funding stream
 - e. Withholding details
4. A single payment line can be split into two lines in order to record distinct accounting string and/or funding stream information for each line.

Assumptions for this modification are as follows:

1. Cashflow screen filters act only upon columns contained within the Cashflow screen table.
2. The new payment edit screen can only be used to edit payment records as they are generated by payrolls or entered as demand payments. State Treasury Payment Vouchers and refactored Payment Vouchers ready for interface cannot be edited in this manner, since this would break the link to the underlying payments from which they were generated.
3. The new payment edit screen cannot be used to delete payment lines, although amounts can be set to zero.
4. Changes made to the payment will be reflected within the case record. For example, changing the service dates within the payment will cause a matching change to be made on the service log.
5. All edits are made prior to the recoupment process running. If a recoupment is made prior to editing the payment record then additional functionality is required to back out and recalculate the recoupment based upon the edited payment record.

E. Manual Payment Entry

Modifications are required in the current Demand Payment screen to capture accounting string and funding stream information required by the State general ledger.

Suggested modifications are as follows:

1. Modify the Demand Payment screen to accept accounting string and funding stream information.

Assumptions for this modification are as follows:

1. No business rules are attached to accounting stream or funding stream entry.
2. Recoupment is not run against manually entered payments.

F. Mass Payment Update

Capability to make updates to payment records on a group basis.

Suggested modifications are as follows:

1. Modify the Cashflow screen to allow the user to select multiple payments for further action.
2. Modify the Cashflow screen to edit information that will apply to selected payment records. Editable information is as follows:
 - a. Accounting string
 - b. Purchase order
 - c. Other accounting information as specified in the FACTS I Mass Payment Update screen.

Assumptions for this modification are as follows:

1. No business rules are attached to the editing of the accounting string.

Financial Enhancement Delivery Timeline

The Financial Enhancement Scope as detailed herein will be incorporated into the Agreement's baseline functionality and the planned release is aligned with the Agreement's baseline functional release on March 31, 2014.

Fees

The fees associated with the financial enhancement services set forth herein equal \$1,777,835. This amount is included in the revised Attachment Budget Summary and split into two payments. The first payment equaling \$355,567 is included in Deliverable 6.9.1 (Detailed Design Document). The second payment equaling \$1,422,268 is included in Deliverable 6.12.6 (Code Release).

APPENDIX B

FACTS II needs the ability to conduct multiple CPR background checks and fax the response to specific agencies. Specifically this includes:

- Allow Criminal History Unit staff in the Office of Childcare Licensing to create a list of specific agencies that may request CPR background checks.
- Allow Criminal History Unit staff in the Office of Childcare Licensing to create potential employees search list manually or receive the list via interface for background checks.
- Allow Criminal History Unit staff in the Office of Childcare Licensing to document eligibility status on responses.
- Allow Criminal History Unit staff in the Office of Childcare Licensing to fax the CPR background check status to specific agencies.
- Allow Criminal History Unit staff in the Office of Childcare Licensing to generate Match found or Match not found from FACTS II

The multiple CPR background check functionality will be synchronized with the design and development work presently underway associated with the main body of the FACTS II Project (“Project”). This approach has a number of cost and risk avoidance advantages:

- Additional resources can be added to the existing Project team without the necessity for additional management resources.
- New CPR design sessions are scheduled in co-ordination with design sessions for existing functionality. The Department representatives will examine the full breadth of CPR multi-search functionality during those sessions.
- Coding is completed during the base FACTS II development stage. This avoids the need to manage a separate branch of source code, thereby reducing build complexity and the need for comprehensive regression testing.
- Technical administration resources (e.g. DBA and build engineer) are leveraged from the existing Project team, eliminating the additional cost of those staff that would otherwise be necessary.
- There are no separate deliverables for the new CPR work described herein. The new CPR functionality will be folded into the related deliverables in the main FACTS II Project. This approach reduces cost to develop separate deliverables (notably, detailed design). It also reduces the Department’s level of effort in reviewing them.

The new CPR system functionality will be implemented as part of the main FACTS II implementation.

The new CPR multi-search functionality defined herein will be performed on a fixed price basis based for the specific scope described herein.

The new CPR multi-search functionality will assist the Division of Family Services, Criminal History Unit in the Office of Childcare Licensing, in conducting multiple Child Protective Registry (“CPR”) background checks for prospective employees simultaneously and to provide the response back to the employers in childcare, health care, and public schools.

The breadth of CPR multi-search processing that is impacted by this change is illustrated in Figure 1-1 on the following page.

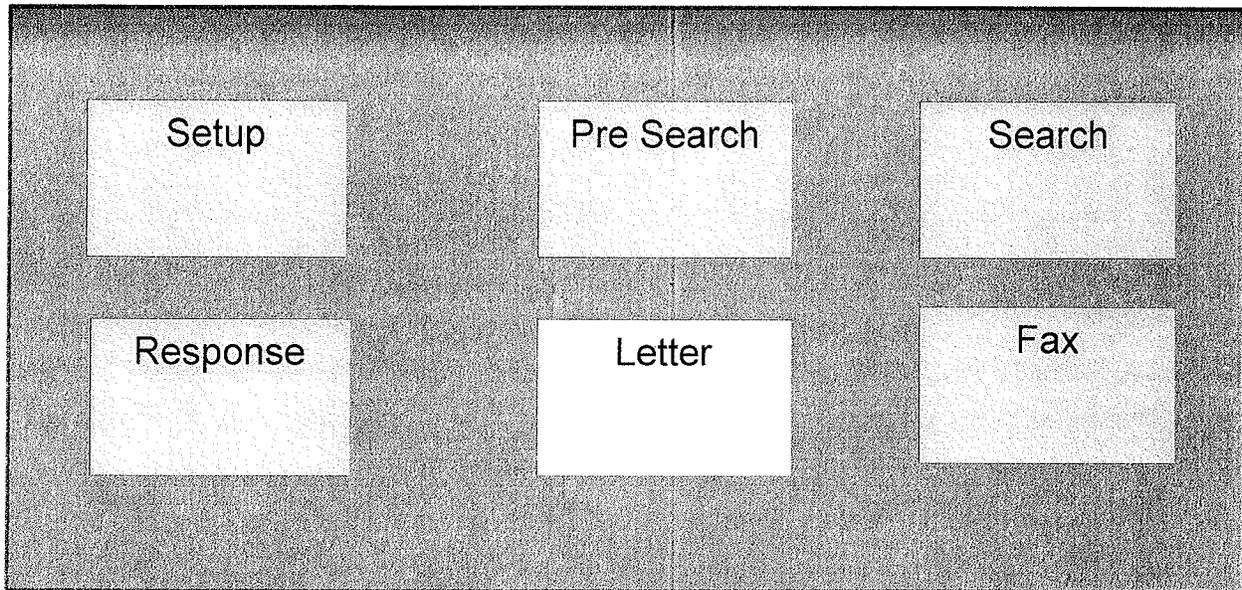


Figure 1-1: CPR functionality

Within this diagram, four broad stages of CPR multi-search functionality are identified:

- *Setup.* Setting up the list of specific agencies who can request CPR clearance for their potential employees.
- *Pre Search.* Creating the potential employees list for whom the CPR clearance is requested.
- *Search.* Searching the CPR from the prospective employee setup list.
- *Results.* Displaying the CPR search results and details back to the user.
- *Response.* Generating the response letter and faxing the response back to the agency that requested the CPR clearance.

Each functional component above is color coded as follows:

- *Orange.* The component partially addresses group CPR requirement but requires modification.
- *Red.* No equivalent component exists. New functional components should therefore be built.

The following sections provide additional information regarding each functional component and the changes or additions required within it. These changes should be considered as detailed requirements and will be used to define the functional design scheduled for discussion and submission for DEPARTMENT review in deliverable 6.9.1 Detailed Design Specifications.

Setup

A. Agency Setup/Maintenance

The Agency Setup maintenance feature allows users to document agency details that may request CPR clearance from the Criminal History Unit.

Suggested Modifications are as follows:

1. New screen to record the details of specific agencies that may request CPR clearance from the Criminal History Unit. This screen allows the user to document agency name, address and contact information.

Assumptions for this modification are as follows:

1. The user will manually enter the agency information using this screen.
2. The Agencies entered using this screen are not considered by FACTS II to be providers and will not be available in the FACTS II provider module.

Pre Search

A. User Entered

The Pre Search User Entered feature allows the creation of list of potential employees for whom CPR clearance is requested by specific agencies. Suggested Modifications are as follows:

1. A new screen to create the list of potential employees for whom CPR clearance is requested by specific agencies. This screen allows the user to build the potential employee list and sends them to clearance process in the search screens.

Assumptions for this modification are as follows:

1. The agencies that are requesting for CPR background check should already be set up using the agency set up screen.

B. CPR Interface

The CPR Interface feature receives the list of potential employees for whom CPR clearance is requested by specific agencies received via DLTCRP Interface.

Suggested Modifications are as follows:

1. New screen that retrieves the list of potential employees for whom CPR clearance is requested by specific agencies received via DLTCRP Interface. From this screen the user can send the potential employee list for CPR background check clearance in the search screens.

Assumptions for this modification are as follows:

1. The CPR background check response will be sent back to Division of Long term Care Residents Protection (DLTCRP).

CPR Search and Response

A. CPR Search

The CPR Search screen searches the FACTS II CPR for potential employee records sent from the Pre Search Screens and displays the results back to the user.

Suggested modifications are as follows:

1. A new CPR Search screen with functionalities described below:
 - a. Search potential employee records against the FACTS II CPR and display the results back to the user.
 - b. Tab to display CPR background check details that include allegations and disposition findings details.
 - c. Tab to document the eligibility status and comments prior to sending the CPR background check response back to agencies.
 - d. Ability to generate Match Found and No Match Found Letters
 - e. Ability to mark a record CPR check complete and save CPR check progress.
 - f. Ability to search on CPR check processed records.

Assumptions for this modification are as follows:

1. The multi-search implementation (real time vs. batch) will be determined based on performance considerations.

Fax

Ability to fax the CPR background checks response status to specific agencies.

Suggested modifications are as follows:

1. Faxing capability on the new CPR Search screen.

Assumptions for this modification are as follows:

1. The precise technical implementation will be determined based upon an assessment of the average and maximum number of individuals to be searched at one time.

Child Protection Registry (CPR) Enhancement Delivery Timeline

The CPR Enhancement Scope as detailed herein will be incorporated into the Agreement's baseline functionality and the planned release is aligned with the Agreement's baseline functional release on March 31, 2014.

Resource Assumptions

The DEPARTMENT agrees to provide the necessary number of resources that have the required skill set as follows:

- Detailed Designed Sessions scheduled for Thursday April 4, 2013
- User Acceptance Test Phase scheduled for December 16, 2013 thru February 21, 2014

Fees

The fees associated with the CPR enhancement services set forth herein equal \$771,568. This amount is included in the revised Attachment Budget Summary and split into two payments. The first payment equaling \$154,313.60 is included in Deliverable 6.9.1 (Detailed Design Document). The second payment equaling \$617,254.40 is included in Deliverable 6.12.6 (Code Release).

ATTACHMENT B

BUDGET SUMMARY

- A. The maximum amount of this contract is governed by the amount encumbered in the CONTRACTOR'S name on a State of Delaware purchase order. Without amendment the fees are fixed for the term of the Agreement. The fees for services (identified as "Deliverables") are as listed below.

Milestone: Project Initiation and Management		
Deliverable 6.5.1 Project Management Plan	6/29/2012	\$485,632.00
Deliverable 6.5.2 Weekly Status Report	5/7/2012 to 1/6/2015 *Weekly Submissions	No Cost Deliverables
Deliverable 6.5.3 Monthly Status Report	5/7/2012 to 1/6/2015 *Monthly Submissions	\$246,023.00 *Divided over 32 months
Milestone Total		\$731,655.00
Milestone: System Hardware		
Deliverable 6.6.1 System Hardware	7/20/2012	\$97,142.00
Milestone Total		\$97,142.00
Milestone: System Planning and Analysis		
Deliverable 6.7.1 Application Architecture	8/3/2012	\$269,253.00
Deliverable 6.7.2 Requirements Verification & System Design Schedule	6/25/2012	\$53,851.00
Deliverable 6.7.3 Design Orientation	8/24/2012	\$753,908.00
Milestone Total		\$1,077,012.00
Requirements Verification		
Deliverable 6.8.1 Requirements Traceability Matrix	10/5/2012	\$1,077,012.00
Deliverable 6.8.2 Conceptual Design	10/5/2012	\$764,256.00
Deliverable 6.8.3 Logical Data Model	10/5/2012	\$143,602.00
Milestone Total		\$1,984,870.00
Milestone: System Design		
Deliverable 6.9.1 Detailed Design Document	4/9/2013	\$3,329,646.60
Deliverable 6.9.2 Physical Data Model	4/9/2013	\$134,627.00
Deliverable 6.9.3 Data Dictionary	4/9/2013	\$269,253.00
Deliverable 6.9.4 System Architecture	5/28/2013	\$269,253.00
Deliverable 6.9.5 Document Management Design Specification	4/9/2013	\$134,627.00
Milestone Total		\$4,137,406.60

Milestone: Reports		
Deliverable 6.10.1 Reports Tools/Methodology Training	6/12/2013	\$125,651.00
Deliverable 6.10.2 Reports Design Specifications	4/9/2013	\$565,432.00
Milestone Total		\$691,083.00
Milestone: Interface		
Deliverable 6.11.1 Interface Analysis and Design Specifications	4/9/2013	\$628,257.00
Milestone Total		\$628,257.00
Milestone: System Development		
Deliverable 6.12.1 Application Standards	10/12/2012	\$86,161.00
Deliverable 6.12.2 System Backup and Recovery Plan	10/12/2012	\$86,161.00
Deliverable 6.12.3 IADE Design	10/12/2012	\$86,161.00
Deliverable 6.12.4 Configuration Management, Build & Release Management Plan	10/12/2012	\$215,402.00
Deliverable 6.12.5 Migration Plan	10/12/2012	\$86,161.00
Deliverable 6.12.6 Code Release	9/24/2013	\$5,422,080.40
Milestone Total		\$5,982,126.40
Milestone: System Testing		
Deliverable 6.13.1.1 Unit Test Plan	1/17/2013	\$64,621.00
Deliverable 6.13.1.3 Unit Test Results	9/4/2013	\$323,104.00
Deliverable 6.13.2.1 System Test Plan	3/19/2013	\$64,621.00
Deliverable 6.13.2.3 System Test Results	9/25/2013	\$323,104.00
Deliverable 6.13.3.1 Integration Test Plan	7/24/2013	\$172,322.00
Deliverable 6.13.3.3 Integration Test Results	12/31/2013	\$349,501.00
Deliverable 6.13.4.1 Regression Test Plan	7/24/2013	\$64,621.00
Deliverable 6.13.4.3 Regression Test Results	12/31/2013	\$344,222.00
Deliverable 6.13.5.1 UAT Plan	10/15/2013	\$64,621.00
Deliverable 6.13.5.3 UAT Results	2/18/2013	\$346,333.00
Milestone Total		\$2,117,070.00
Milestone: System Training		
Deliverable 6.14.1 Training Plan	4/16/2013	\$35,900.00
Deliverable 6.14.2 Instructional Design	10/8/2013	\$21,540.00
Deliverable 6.14.3 User Manual	10/8/2013	\$143,602.00
Deliverable 6.14.4 CBT Design	8/14/2013	\$71,801.00
Deliverable 6.14.5 CBT Modules	12/9/2013	\$71,801.00
Deliverable 6.14.6 On-Line Help	1/22/2014	\$71,801.00
Deliverable 6.14.7 Train the Trainer	11/12/2013	\$107,701.00
Deliverable 6.14.8 Training Evaluation Report	4/1/2014	\$16,683.00
Deliverable 6.14.9 Help Desk Training	12/3/2013	\$35,900.00
Deliverable 6.14.10 System Maintenance Training	8/12/2014	\$71,801.00
Deliverable 6.14.11 Reports Maintenance Training	8/12/2014	\$71,801.00
Milestone Total		\$720,331.00

Milestone: Conversion		
Deliverable 6.15.1 Data Conversion Plan	10/5/2012	\$32,310.00
Deliverable 6.15.2 Data Conversion Specification & Mapping	4/9/2013	\$420,774.00
Deliverable 6.15.4 Conversion Test Plan	8/6/2013	\$32,310.00
Deliverable 6.15.5 Conversion Test Results (Integration)	10/2/2013	\$345,066.00
Deliverable 6.15.5 Conversion Test Results (UAT)	2/4/2014	\$161,552.00
Deliverable 6.15.6 Final Conversion Test Results	6/3/2014	\$161,552.00
Milestone Total		\$1,153,564.00
Milestone: System Implementation		
Deliverable 6.16.1 Implementation Plan	8/13/2013	\$44,876.00
Deliverable 6.16.2 Data Quality Plan	10/29/2013	\$44,876.00
Deliverable 6.16.3 Help Desk Operating Procedures	12/30/2013	\$89,751.00
Deliverable 6.16.4 System Transition Plan	7/1/2014	\$44,876.00
Deliverable 6.16.5 System Maintenance Plan	7/1/2014	\$44,876.00
Deliverable 6.16.6 Back Up & Recovery Plan	1/7/2014	\$44,876.00
Deliverable 6.16.7 Final System Documentation	10/28/2014	\$179,502.00
Deliverable 6.16.8 System Operations Manual	1/7/2014	\$179,502.00
Deliverable 6.16.9 Backout Plan	1/7/2014	\$44,876.00
Deliverable 6.16.10 Implementation Results Report -	5/27/2014	\$44,876.00
Deliverable 6.16.11 FACTS II	3/31/2014	\$134,627.00
Milestone Total		\$897,514.00
Milestone: Post Implementation Support		
Deliverable 6.17.1 Final FACTS II Status & Transfer	8/26/2014	\$50,683.00
Deliverable 6.17.2 Federal Requirements Traceability Matrix	9/23/2014	\$65,465.00
Deliverable 6.17.3 Final System Documentation	11/17/2014	\$123,540.00
Milestone Total		\$239,688.00
Milestone: Support Federal Review		
Deliverable 6.18.1 Federal Review Readiness Plan	9/23/2014	\$38,012.00
Milestone Total		\$38,012.00
Total Cost		\$20,495,731.00